



The role of electronic properties to the mutagenic activity of 1,6- and 3,6-dinitrobenzo[*a*]pyrene isomers

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ABSTRACT

Equilibrium geometries, infrared spectra, vertical first ionization potential (IP), electronic affinity (EA), dipole moment (μ) and electronic dipole polarizability (α) of 1,6- and 3,6-dinitrobenzo[*a*]pyrene isomers (1,6-DNBaP and 3,6-DNBaP) were evaluated by means of Density Functional Theory (DFT) and recent semiempirical PM6 method. Structural, energetic and vibrational properties of DNBaP isomers are substantially similar to each other. Calculated IP, EA and α values of these isomers are practically identical, while μ of 3,6-DNBaP (8.2 D at DFT level) is predicted to be ca. 4 times the value of 1,6-DNBaP isomer (1.9 D at DFT level), owing to favorable mutual orientation of the individual nitro group vectors. Higher direct-mutagenic activities of 3,6-DNBaP with respect to 1,6-DNBaP isomer by 1–2 orders of magnitude might be determined by its peculiar electronic charge distribution, which through stronger electrostatic and inductive interactions, can promote much more effectively binding to active-site of enzymes involved in mutagenic pathways. On the other hand, orientation of the nitro substituents relatively to the plane of the aromatic moiety, molecular sizes, as well as nitroreduction and oxidation reactions seem not to have a key role in the determination of the different mutagenic behaviour of these isomers.

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1. Introduction

It is well known that many nitropolycyclic aromatic hydrocarbons (NPAHs) are contaminants potentially hazardous for public health due to their persistency in the environment and high mutagenic and carcinogenic activities [1–3]. NPAHs are usually generated from nitration reactions during combustion processes and also from reactions in atmosphere between polycyclic aromatic hydrocarbons (PAHs) and nitrogen oxides, contributing to polar fractions of airborne matter [1–6]. It is recognized that NPAHs are chemically and biochemically very stable species [7]. Besides traditional methods for remediation from polluted sites, novel strategies for the treatment and biodegradation of these compounds make use of specific bacterial enzymes [7–9]. Proposed mutagenic mechanisms of NPAHs are rather complex and depend on the environmental conditions, involving diffusional pathways in the cellular systems, binding to active-site of enzymes, oxidation and/or reduction reactions or their combinations [2,3,10,11]. Additionally, other contributions such as hydrophobic character, metabolic activity, efficient intercalation into DNA and group orien-

tation prior to binding may rule the capability of metabolites to bind covalently to DNA and consequently the mutagenic behaviour [12]. It is widely documented in the literature that mutagenic potency of NPAHs is dependent on the molecular structure [3,13], which may also affect other critical physicochemical properties involved in mutagenic pathways. Geometrical features, in particular the angle of the plane of the nitro substituent formed with the plane of the aromatic system influence significantly the biochemical activity [3,13]. There are many indications showing that, NPAH isomers with the nitro group perpendicular or nearly perpendicular to the plane of the aromatic moiety exhibit lower mutagenic activity than planar or nearly planar homologues, in many cases with differences of some orders of magnitude [3,13]. This fact has been explained on the basis of orientation of the nitro substituents, which is supposed to control the access and occupation of the active-site of the nitroreductase enzyme [12,14].

In the case of dinitrobenzo[*a*]pyrenes (DNBaP), direct-mutagenic activities of two isomers, 1,6-DNBaP and 3,6-DNBaP, obtained through *Salmonella typhimurium* strains TA98 and YG1024 in the absence of metabolic activation system (S9) were investigated [15–18]. The results of both the tests concordantly show that 3,6-DNBaP exhibits substantially higher mutagenic potencies than those for 1,6-DNBaP isomer. Specifically, mutagenic activities for 3,6-DNBaP isomer are predicted to be 137,000 and

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1,640,000 revertants/nmol, by TA98 and YG1024 strains, respectively, while the corresponding figures for 1,6-DNBaP isomer are much more lower, being 1514 and 82,593 revertants/nmol, respectively [18]. These findings are also supported by the fact that in the case of 3,6-DNBaP isomer, reduction reactions yield a larger amount of nitroso- and amino-derivative products than 1,6-DNBaP isomer [18]. Additionally, according to tests on F344/DuCrj rats, 3,6-DNBaP is demonstrated to be more carcinogenic than 1,6-DNBaP isomer [19]. Due to the presence of two withdrawal nitro substituents, 3,6-DNBaP is predicted to be more mutagenic than nitrobenzo[*a*]pyrenes (NBaP) [15–18,20]. However, owing to their high sensitivity to UV radiations, detection in the environment of DNBAps is a hard task [21]. Hitherto, experimental and theoretical structural data of DNBAps are not available, while X-ray structure of 6-NBaP [22] and Density Functional Theory (DFT) [23] geometries of 1-, 3- and 6-NBaP isomers [24] have been recently obtained. It is well established that nearly planar 1-NBaP and 3-NBaP are more mutagenic than the quasi-orthogonal 6-NBaP isomer [15–18,20].

In this work we focused our attention on the structure, infrared spectra and some fundamental physicochemical properties such as ionization potential (IP), electron affinity (EA), dipole moment (μ) and electronic dipole polarizability (α) of 1,6-DNBaP and 3,6-DNBaP isomers, to investigate the effects of the position of the nitro groups on these properties. The main aim is to elucidate the role of the structural and electronic properties in determination of the different mutagenic behaviour of these isomers. To this purpose, we used DFT and semiempirical computational methods. Recently, DFT electronic polarizabilities have been employed with success in the prediction of biodegradation rates of a series of dimethylnaphthalene isomers [25].

2. Computational methods

All calculations were performed with MOPAC 2007 [26] and GAUSSIAN 03 [27] series of programs. Equilibrium geometries were fully optimized by recent semiempirical PM6 method [28] as well as DFT-B3LYP functional [29,30] with the polarized split-valence People's 6-31G* basis set [31]. Infrared spectra were calculated at the B3LYP/6-31G* level on the B3LYP/6-31G* geometry under the harmonic approximation. Vibrational analysis showed that all the structures are minima in the potential energy surface (no imaginary frequencies).

Vertical first IP and EA values were obtained at the B3LYP/6-31+G* level through a Δ SCF procedure:

$$IP = E_{\text{cation}} - E_{\text{neutral}} \quad (1)$$

$$EA = E_{\text{neutral}} - E_{\text{anion}} \quad (2)$$

where E_{cation} , E_{neutral} and E_{anion} is single point total energy of the cation, neutral and anion state, respectively, at the B3LYP/6-31G* optimized geometry of the neutral ground state. For the investigated DNBAp isomers, 6-31+G* basis set, which contains polarized *d* and diffuse *s* and *p* functions on carbon, nitrogen and oxygen atoms, which are necessary to properly describe the electronic structure of radical ionic states, consists of a total of 514 basis functions. For both open-shell cations and anions we adopted unrestricted functional (UB3LYP), while for the closed-shell neutral ground state the restricted method (RB3LYP) was employed. In the present case, UB3LYP scheme was able to remove a large percentage of the spin contamination from the pseudo wavefunction ($S^2 \sim 0.75$). Recently, Modelli et al. have employed UB3LYP/6-31+G* level of calculation to obtain the EA values for many PAHs [32] and isothiocyanates [33], reproducing satisfactorily experimental data.

Atomic charges were estimated through atomic polar tensor (APT) [34,35], Mulliken [31], Merz-Singh-Kollman electrostatic

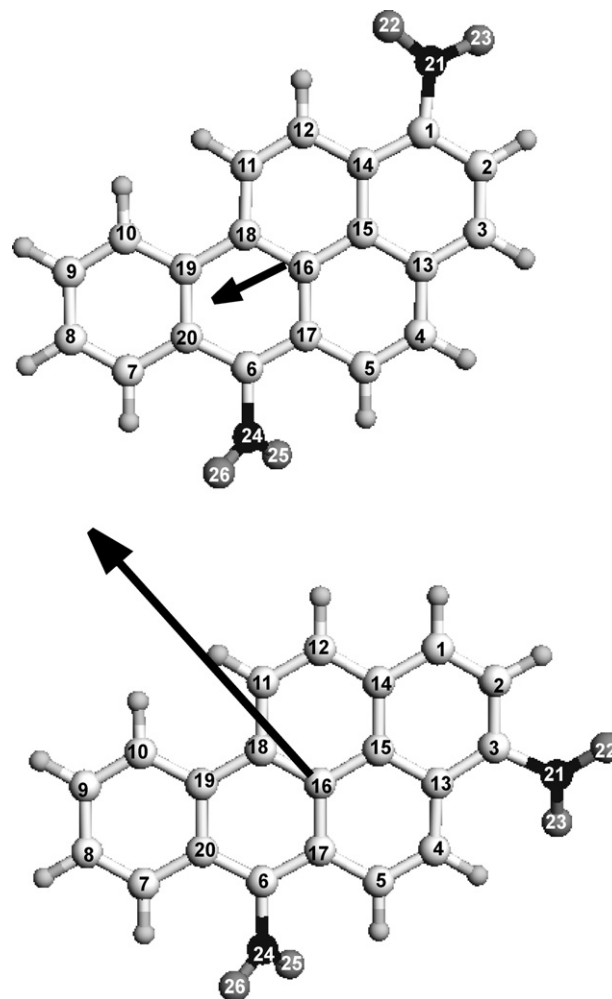


Fig. 1. Structure, atomic numbering and dipole moment vector of dinitrobenzo[*a*]pyrenes.

potential (ESP) [36] and natural bond order (NBO) [37] population analyses.

Dipole moment components (μ_i) of the neutral ground state were obtained at the PM6 and B3LYP/6-31+G* levels as first-order derivatives of the energy (E) with respect to the Cartesian coordinate components of the electric field (F_i), while static electronic polarizability tensor components (α_{ij}) were computed by the PM6 method as second-order derivative of E [38,39]. In experiments, polarizability is usually given as orientationally averaged value ($\langle\alpha\rangle$) [39].

Conversion factors to SI units are: energy (E), 1 Hartree = $4.3597482 \times 10^{-15}$ J; dipole moment (μ), 1 D = 3.33564×10^{-30} cm; dipole polarizability (α), 1 a.u. = 1.648778×10^{-41} C² m² J⁻¹.

3. Results and discussion

3.1. Geometries

Selected geometrical parameters of the nitro groups of the DNBAp isomers obtained at the B3LYP/6-31G* and PM6 levels are listed in Table 1 and the structures are displayed in Fig. 1. To our knowledge both experimental and theoretical data on the structure of DNBAps are lacking. Table 1 also reports the geometries of benzo[*a*]pyrene (BaP), for which observed data are available from X-ray measurements [40]. The results show that, despite the presence

Table 1
Selected geometrical parameters of dinitrobenzo[*a*]pyrene isomers and benzo[*a*]pyrene

Parameter ^a	1,6-DNBaP		3,6-DNBaP		BaP		Experimental ^b
	B3LYP/6-31G*	PM6	B3LYP/6-31G*	PM6	B3LYP/6-31G*	PM6	
C ₁ –C ₂	1.391	1.399	1.378	1.379	1.388	1.386	1.383
C ₂ –C ₃	1.388	1.396	1.401	1.416	1.399	1.407	1.399
C ₃ –C ₁₃	1.399	1.397	1.411	1.403	1.399	1.393	1.395
C ₁₃ –C ₁₅	1.429	1.428	1.436	1.434	1.431	1.430	1.443
C ₁₄ –C ₁₅	1.434	1.424	1.427	1.419	1.426	1.418	1.421
C ₁ –C ₁₄	1.422	1.420	1.409	1.415	1.410	1.415	1.408
C ₄ –C ₁₃	1.436	1.456	1.440	1.457	1.442	1.459	1.441
C ₄ –C ₅	1.355	1.349	1.357	1.348	1.357	1.350	1.345
C ₅ –C ₁₇	1.442	1.461	1.439	1.459	1.442	1.460	1.451
C ₁₆ –C ₁₇	1.444	1.444	1.441	1.444	1.442	1.445	1.445
C ₁₅ –C ₁₆	1.435	1.448	1.435	1.447	1.430	1.443	1.443
C ₁₂ –C ₁₄	1.429	1.444	1.425	1.444	1.428	1.444	1.444
C ₁₁ –C ₁₂	1.365	1.357	1.364	1.353	1.366	1.360	1.354
C ₁₁ –C ₁₈	1.427	1.445	1.430	1.446	1.432	1.445	1.436
C ₁₆ –C ₁₈	1.417	1.405	1.420	1.406	1.419	1.405	1.420
C ₆ –C ₁₇	1.391	1.389	1.391	1.388	1.384	1.377	1.376
C ₆ –C ₂₀	1.422	1.437	1.421	1.436	1.414	1.429	1.421
C ₁₈ –C ₁₉	1.442	1.439	1.443	1.440	1.443	1.442	1.456
C ₁₉ –C ₂₀	1.434	1.421	1.434	1.420	1.435	1.419	1.419
C ₇ –C ₈	1.374	1.372	1.374	1.372	1.375	1.372	1.377
C ₈ –C ₉	1.412	1.423	1.412	1.423	1.414	1.424	1.423
C ₉ –C ₁₀	1.376	1.372	1.376	1.372	1.378	1.373	1.379
C ₁₀ –C ₁₉	1.420	1.431	1.421	1.431	1.421	1.430	1.428
C ₇ –C ₂₀	1.424	1.432	1.424	1.432	1.423	1.431	1.429
N ₂₁ –C ₁	1.471	1.482					
N ₂₁ –C ₃			1.472	1.483			
N ₂₄ –C ₆	1.475	1.486	1.475	1.487			
N ₂₁ –O ₂₂	1.234	1.220	1.232	1.221			
N ₂₁ –O ₂₃	1.232	1.221	1.233	1.220			
N ₂₄ –O ₂₅	1.231	1.220	1.230	1.219			
N ₂₄ –O ₂₆	1.230	1.218	1.231	1.218			
O ₂₂ –N ₂₁ –C ₁ –C ₁₄	25.3	18.8					
O ₂₃ –N ₂₁ –C ₁ –C ₂	24.0	17.9					
O ₂₂ –N ₂₁ –C ₃ –C ₂			25.8	17.2			
O ₂₃ –N ₂₁ –C ₃ –C ₁₃			27.6	18.1			
O ₂₅ –N ₂₄ –C ₆ –C ₁₇	57.1	48.5	57.1	49.5			
O ₂₆ –N ₂₄ –C ₆ –C ₂₀	56.9	48.8	56.9	49.7			

B3LYP/6-31G* and PM6 results.

^a Bond lengths (Å), angles in degrees. See Fig. 1 for atomic numbering.

^b X-ray diffraction C–C bond lengths taken from Ref. [40].

of solid-state effects, both the B3LYP/6-31G* and PM6 gas-phase geometries of BaP are in reasonable agreement with the experimental one, with a root-mean squared (rms) deviation for the C–C bond lengths of 0.009 and 0.008 Å, respectively. Introduction of nitro groups to the BaP moiety gives only a marginal alteration of the structure. Indeed, the aromatic rings remain substantially planar, the largest C–C–C dihedral angle being computed to be 3°. In addition, rms deviations of only 0.004–0.005 Å from the computed C–C bond lengths of BaP are found.

For 1,6-DNBaP isomer at the B3LYP/6-31G* level of calculation, the O–N–C–C dihedral angles for the nitro group in position 1 are computed to be 25° (O₂₂–N₂₁–C₁–C₁₄) and 24° (O₂₃–N₂₁–C₁–C₂), which are somewhat close to the values for the nitro group in position 3 for 3,6-DNBaP isomer, being 26° (O₂₂–N₂₁–C₃–C₂) and 28° (O₂₃–N₂₁–C₃–C₁₃). Note that, the corresponding O–N–C–C values for the nitro group in position 6 (O₂₅–N₂₄–C₆–C₁₇ and O₂₆–N₂₄–C₆–C₂₀) are about doubled (57° for both isomers) in comparison to those in 1 and 3 positions, owing to the noticeable steric repulsion between the hydrogen atoms in peri position and vicinal oxygen atoms. Analogue results have been recently obtained on 1-, 2- and 9-nitroanthracene isomers [41] and 1-, 3- and 6-NBaP isomers [24] by using B3LYP computations. As can be seen in Table 1, for both DNbaP isomers, N₂₄–C₆ bond length is longer than N₂₁–C₁ and N₂₁–C₃ values by 0.003–0.004 Å, consistently with the O–N–C–C data. By contrast, N–O distances of nitro groups

in 1 and 3 positions are slightly longer than those in position 6. Therefore, nitro group in position 6 exhibits a lower π-conjugation with the BaP moiety in comparison to that in 1 and 3 positions. As for O–N–C–C dihedral angles, N–O, N–C and C–C bond lengths for DNbaP isomers are rather similar to each other, suggesting that the investigated isomers exhibit a somewhat similar structure. It reflects on the relative stability, 3,6-DNBaP lying only 0.56 and 0.49 kcal/mol above 1,6-DNBaP isomer, respectively, at the B3LYP/6-31G* and PM6 levels. Note that, introduction of zero-point vibrational energy correction estimated at the B3LYP/6-31G* level under the harmonic approximation does not modify the relative stability. In addition we notice that, on passing from 1,6-DNBaP to 3,6-DNBaP isomer the molar volume determined by means of a Monte-Carlo procedure using a 0.001 e/bohr³ density envelope decreases by only 4%. Our data indicate that, the geometrical features of the nitro groups of 1,6-DNBaP and 3,6-DNBaP isomers being somewhat similar are expected not to be decisive in determining their different mutagenic activity.

3.2. Infrared spectra

Infrared spectrum of DNbaP isomers was computed at the B3LYP/6-31G* level under the harmonic approximation. B3LYP functional have been previously employed with success in determination of vibrational spectra of nitroaromatic molecules [24,42,43].

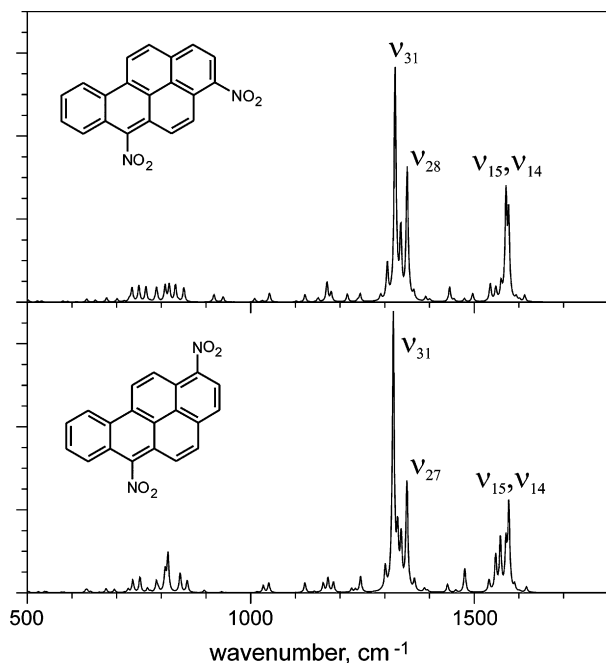


Fig. 2. B3LYP/6-31G* infrared spectrum in the 500–1800 cm^{-1} range of 1,6-dinitrobenzo[a]pyrene (bottom) and 3,6-dinitrobenzo[a]pyrene (top) isomers. Wavenumbers were corrected by 0.9594 scaling factor taken from Ref. [46]. Lorentz lineshapes with half-width of 5 cm^{-1} were used. See Tables 2 and 3 for vibrational mode numbering.

In order to account for basis set truncation, electron-correlation effects and vibrational anharmonicity, the calculated harmonic wavenumbers (ω) of all normal modes were corrected through a commonly employed scaling procedure [44,45]. In the present case we used a single scaling factor of 0.9594, recently obtained by Irikura et al. [46] for the B3LYP/6-31G* level of calculation, by means of a least-mean squared fitting procedure using a group of 3310 observed and computed vibrational ω values. For the reference compound naphthalene this approach has given a satisfactory agreement with experiment, with a rms deviation of 16 cm^{-1} [47], while the corresponding rms value for unscaled ω values is 62 cm^{-1} . Fig. 2 depicts the computed infrared spectrum in the 500–1800 cm^{-1} range for both isomers, obtained by convoluting the ω values with Lorentz lineshapes with half-width of 5 cm^{-1} . Vibrational modes were assigned on the basis of normal modes as displacements in redundant internal coordinates (in GAUSSIAN 03, option Freq=IntModes) as well as through the visualization software Chemcraft [48]. The complete lists of the ω and I_{IR} data for 1,6-DNBaP and 3,6-DNBaP isomers are reported in Tables 2 and 3, respectively. However, a detailed discussion of IR spectrum of the DNBaP isomers is beyond the aim of the present study. As can be appreciated from Fig. 2 and from data in Tables 2 and 3, the computed infrared spectrum of the investigated isomers is somewhat similar to each other, confirming the results previously obtained on the structure. For both the isomers, the most intense absorption is placed at ca. 1320 cm^{-1} and is mainly ascribed to the N–C stretching + symmetric O–N–O stretching vibration of the nitro groups in 1 and 3 positions (mode ν_{31} for both isomers), in line with experimental and computed infrared ω data of 1-, 3-, and 6-NBaP isomers, being in the range of $1310\text{--}1388 \text{ cm}^{-1}$ [13,24]. It should be noted that ν_{31} vibration is about twice more intense than the corresponding mode principally localized on the nitro group in position 6 (mode ν_{27} and ν_{28} , for 1,6-DNBaP and 3,6-DNBaP, respectively) which occurs at higher ω value (by ca. 30 cm^{-1}), as can be also appreciated from Fig. 2. This result is supported by the computed

Table 2

Harmonic vibrational wavenumber, ω (cm^{-1}) and infrared intensity, I_{IR} (km/mol) of 1,6-dinitrobenzo[a]pyrene

No.	ω^a	I_{IR}	No.	ω^a	I_{IR}
1	3149.2	4.7	52	917.2	0.4
2	3122.0	0.8	53	896.2	4.6
3	3120.0	3.0	54	858.0	22.5
4	3115.9	6.4	55	842.3	36.3
5	3112.3	16.4	56	838.6	1.1
6	3093.3	1.8	57	814.9	72
7	3080.9	20.3	58	808.5	39.9
8	3073.9	13.1	59	793.3	6.1
9	3067.6	3.3	60	789.2	20.8
10	3067.3	5.5	61	776.1	2.1
11	1616.8	10.3	62	769.2	8.0
12	1601.4	1.6	63	752.4	28.9
13	1590.3	11.5	64	736.3	23.5
14	1577.3	163.9	65	725.9	6.5
15	1570.9	86.8	66	717.9	0.7
16	1558.5	101.2	67	695.2	6.5
17	1547.9	66.9	68	676.6	7.9
18	1533.0	22.2	69	661.3	0.9
19	1497.1	0.2	70	641.6	2.3
20	1478.8	45.8	71	634.0	4.8
21	1458.6	4.2	72	631.1	4.2
22	1440.3	15.4	73	582.7	1.3
23	1411.8	0.2	74	576.3	1.2
24	1395.3	3.3	75	543.9	1.4
25	1388.6	6.8	76	539.3	2.5
26	1366.3	21.3	77	525.0	2.2
27	1349.4	205.8	78	503.4	2.0
28	1346.2	4.5	79	500.2	1.9
29	1336.4	95.8	80	488.7	1.4
30	1328.6	102.5	81	460.9	2.1
31	1318.9	523.1	82	442.4	1.6
32	1301.0	43.5	83	437.3	0.4
33	1292.0	0.7	84	395.1	0.6
34	1245.7	30.6	85	361.8	1.8
35	1234.1	5.8	86	347.6	0.6
36	1225.7	7.2	87	317.8	1.3
37	1185.0	19.7	88	298.6	0.2
38	1172.8	28.1	89	291.3	0.0
39	1162.2	17.1	90	278.3	0.3
40	1152.2	0.7	91	233.3	4.0
41	1142.5	2.3	92	230.0	3.4
42	1121.3	18.9	93	206.5	0.9
43	1100.1	0.3	94	182.3	0.9
44	1040.5	18.6	95	157.1	1.3
45	1028.2	13.4	96	142.0	3.1
46	1014.3	1.9	97	99.1	1.3
47	957.8	0.1	98	92.0	0.4
48	953.5	0.5	99	70.4	1.5
49	945.2	0.4	100	60.2	1.1
50	944.4	0.1	101	44.4	0.5
51	934.8	2.0	102	37.0	3.3

B3LYP/6-31G* results.

^a Values corrected for 0.9594 scaling factor taken from Ref. [46].

APT charge over the nitro groups (q_{NO_2}) obtained through calculations of dipole moment derivatives ($q_i(\text{APT})$ is the atomic charge of the i th atom [34,35]):

$$q_i(\text{APT}) = \frac{1}{3} \left(\frac{\partial \mu_x}{\partial x_i} + \frac{\partial \mu_y}{\partial y_i} + \frac{\partial \mu_z}{\partial z_i} \right) \quad (3)$$

As reported in the literature, dipole moment derivatives can be estimated experimentally from infrared intensities and can be accurately determined by means of quantum-mechanical computations [34,35,49]. For the nitro group in positions 1 and 3, B3LYP/6-31G* $q_{\text{NO}_2}(\text{APT})$ value is substantially negative, being calculated to be -0.134 and -0.129 e , respectively. By contrast, the corresponding value for nitro substituent in position 6 is only slightly negative being -0.027 and -0.017 e , for 1,6-DNBaP and 3,6-DNBaP isomer, respectively. It implies for this nitro group

Table 3
Harmonic vibrational wavenumber, ω (cm^{-1}) and infrared intensity, I_{IR} (km/mol) of 3,6-dinitrobenzo[*a*]pyrene

No.	ω^a	I_{IR}	No.	ω^a	I_{IR}
1	3150.0	4.6	52	918.0	13.4
2	3123.0	1.1	53	913.5	1.1
3	3116.9	7.3	54	850.5	26.5
4	3115.3	3.5	55	838.6	1.9
5	3115.2	18.6	56	831.6	32.0
6	3096.2	2.8	57	817.7	32.8
7	3081.2	20.6	58	808.6	31.7
8	3073.1	12.1	59	789.7	24.0
9	3067.5	5.4	60	787.6	5.8
10	3064.2	3.4	61	775.4	1.6
11	1612.8	11.8	62	765.7	28.7
12	1601.2	3.5	63	749.9	31.0
13	1593.8	7.4	64	734.5	26.8
14	1577.1	154.6	65	728.5	6.0
15	1571.0	196.2	66	716.7	2.0
16	1560.2	30.5	67	701.2	6.3
17	1548.2	25.0	68	677.6	8.1
18	1535.8	33.2	69	661.8	0.3
19	1496.5	15.7	70	652.6	3.6
20	1477.9	6.2	71	633.1	5.8
21	1454.7	4.4	72	610.3	1.0
22	1445.0	28.4	73	590.3	1.4
23	1403.1	0.7	74	580.1	1.7
24	1400.2	4.0	75	543.3	0.2
25	1391.4	8.6	76	532.6	2.1
26	1364.8	14.5	77	522.8	2.2
27	1353.2	18.0	78	503.1	0.7
28	1349.8	243.4	79	502.1	3.5
29	1335.6	128.3	80	490.3	1.2
30	1327.7	15.9	81	472.2	0.4
31	1322.9	432.9	82	443.1	1.6
32	1305.6	68.3	83	424.2	3.0
33	1290.7	10.7	84	400.0	0.7
34	1244.8	15.0	85	363.2	0.8
35	1239.2	3.6	86	351.3	0.6
36	1216.1	14.2	87	315.5	0.6
37	1180.1	18.1	88	295.6	0.4
38	1170.7	37.9	89	286.6	3.0
39	1163.7	0.4	90	272.8	0.8
40	1150.9	6.8	91	232.9	3.4
41	1148.3	1.0	92	224.1	3.7
42	1121.4	14.2	93	209.5	1.0
43	1101.7	1.8	94	199.5	2.8
44	1041.9	17.1	95	154.5	0.8
45	1025.7	2.7	96	136.5	0.5
46	1008.8	6.6	97	100.2	0.4
47	962.8	0.3	98	85.6	1.7
48	957.1	0.1	99	76.4	1.3
49	940.0	0.0	100	62.5	1.9
50	938.3	9.8	101	47.2	0.5
51	929.6	0.3	102	34.0	0.1

B3LYP/6-31G* results.

^a Values corrected for 0.9594 scaling factor taken from Ref. [46].

smaller dipole moment derivatives with respect to the normal mode coordinates (note that $I_{\text{IR}} \propto \partial\mu/\partial Q$ [34]) and lower electronic charge-transfer from the π -system of the BaP moiety in comparison to the substituents in 1 and 3 positions, in line with structural data. Note that the N–C stretching + asymmetric O–N–O stretching vibrations (modes ν_{14} and ν_{15}), which for both isomers are located at ca. 1570 cm^{-1} , (observed and calculated infrared ω values for NBaP isomers are in the range of $1511\text{--}1547\text{ cm}^{-1}$ [13,24]) 1–3 times less intense than the corresponding symmetrical vibrations.

3.3. Ionization potential and electron affinity

It is widely recognized that oxidation and reduction reactions and/or their combinations may take part in the metabolic mutagenic pathways, playing a fundamental role in the differ-

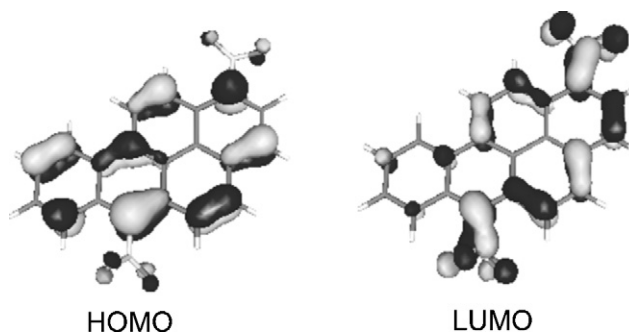


Fig. 3. HF/6-31+G* HOMO and LUMO of 1,6-dinitrobenzo[*a*]pyrene.

ent mutagenic behaviour of NPAH isomers [2,3,10,11]. Therefore it is of interest to investigate oxidative and reductive capacities of DNBAp isomers. This can be achieved through estimation of IP and EA values or of their approximate counterparts, that are, highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO) eigenvalues (ϵ_{HOMO} and ϵ_{LUMO} , respectively). On the basis of the Koopmans' theorem [50], ϵ_{HOMO} and ϵ_{LUMO} can be related to the first ionization potential ($\text{IP} \approx -\epsilon_{\text{HOMO}}$) and electron affinity ($\text{EA} \approx -\epsilon_{\text{LUMO}}$). Calculated ϵ_{LUMO} values were previously employed to elucidate mutagenic potencies of large series of NPAHs with different molecular size and structure within Quantitative Structure Activity Relationships (QSARs) approaches [11,51,52]. However it is well known that ϵ_{LUMO} value can be significantly affected by the theoretical method, and caution should be used when choosing the level of computation. Previous ϵ_{LUMO} /mutagenic activity QSARs studies often employed ϵ_{LUMO} values obtained at semiempirical levels [11,51,52], which for some cases gave inadequate EA estimations. In fact, for the prototypical nitronaphthalene (NN) isomers, AM1 ϵ_{LUMO} values were calculated to be -1.266 and -1.415 eV for 1-NN and 2-NN isomers, respectively, [11], in disagreement with the observed EA values of 1.23 and 1.18 eV, respectively [53]. In addition, for the different mutagenic 3-NBaP and 6-NBaP isomers, AM1 predicts almost similar ϵ_{LUMO} values of -1.766 and -1.767 eV, respectively [11], in disagreement with recent B3LYP/6-31+G* ΔSCF computations which give EA values of 1.55 and 1.34 eV, respectively [54].

Table 4 reports computed IP, EA, ϵ_{HOMO} and ϵ_{LUMO} of DNBAp isomers, together with the values of the reference compounds NB and BaP, for which some experimental data are available from the literature. For NB and BaP observed IP values were obtained from photoelectron spectroscopy [55,56], while EA figures were estimated from ion–molecule reaction equilibrium method [57] and laser photoelectron spectroscopy measurements [58]. So far, experimental IP and EA values of DNBAps are unavailable. As can be seen from the data reported in Table 4, in the case of NB, a satisfactory agreement is found between observed [55,58] and computed IP and EA values, with deviations of 0.07 and 0.12 eV, respectively. On the other hand, for BaP present B3LYP/6-31+G* calculations underestimates the experimental IP [56] and EA [57] figures by 0.36 and 0.14 eV, respectively.

Fig. 3 shows a graphical representation of the HOMO for 1,6-DNBAp isomer, as supplied by the HF/6-31+G* computations. An analogue picture (here not reported) is also obtained for 3,6-DNBAp isomer. The results show that, π -like HOMO is essentially delocalized over the BaP framework and ϵ_{HOMO} value is computed to be almost the same for both the DNBAp isomers. This finding is also confirmed by the IP value which is calculated to be ca. 7.7 eV for both the isomers. Introduction of the two nitro groups increases the IP value of the BaP moiety by about 1 eV, implying a less pronounced tendency for DNBAps to oxidation in comparison to BaP.

Table 4

First ionization potential, IP (eV), electron affinity, EA (eV), HOMO and LUMO eigenvalues, ϵ_{HOMO} (eV) and ϵ_{LUMO} (eV), hardness, η (eV), electrophilic index, κ (eV), dipole moment, μ (D) and static electronic averaged polarizability, $\langle\alpha\rangle$ (a.u.), of dinitrobenzo[*a*]pyrene isomers, benzo[*a*]pyrene and nitrobenzene^a

	1,6-DNBaP	3,6-DNBaP	BaP	NB		
	Calculated	Calculated	Calculated	Experimental	Calculated	Experimental
IP	7.71	7.70	6.76	7.12 ^b	9.99	9.92 ^c
EA	2.19	2.15	0.67	0.81 ^d	0.88	1.00 ^e
ϵ_{HOMO} ^f	-7.95	-7.92	-6.77		-10.10	
ϵ_{LUMO} ^f	-0.42	-0.37	1.16		0.86	
η ^g	2.76	2.78	3.05	3.16	4.56	4.46
κ ^h	4.44	4.37	2.27	2.49	3.24	3.34
μ	1.91 (1.52)	8.17 (8.85)	0.01 (0.01)		4.96 (5.35)	4.22 ⁱ
$\langle\alpha\rangle$	(304.0)	(304.3)	(257.1)		(85.8)	87.0 ^j ; 90.4 ^k

^a Values are obtained at the B3LYP/6-31+G* level on the B3LYP/6-31G* geometry of the ground state. Value in parentheses refers to PM6 calculations.

^b Photoelectron spectroscopy, Ref. [56].

^c Photoelectron spectroscopy, Ref. [55].

^d Ion–molecule reaction equilibrium method, Ref. [57].

^e Laser photoelectron spectroscopy, Ref. [58].

^f Values are obtained at the HF/6-31+G* level on the B3LYP/6-31G* geometry of the ground state.

^g Data obtained through equation (9) using IP and EA values.

^h Data obtained through equation (10) using IP and EA values.

ⁱ Gas-phase, Ref. [69].

^j DMSO solution, Ref. [72].

^k Hexane solution, Ref. [72].

As should be expected, due to the presence of the strong withdrawal nitro substituents, for both DNBAps, anionic state lies at rather lower energy than the neutral ground state, giving EA value noticeably positive. Despite for 3,6-DNBaP isomer reduction reactions give a larger amount of nitroso- and amino-derivative mutagenic intermediates than 1,6-DNBaP isomer [18], EA value does not change between the investigated isomers, being calculated to be ca. 2.2 eV. This result is also confirmed by the ϵ_{LUMO} data. Present EA and ϵ_{LUMO} data are consistent with electrochemical reduction potentials involving the transfer of two electrons previously obtained through cyclic voltammetry measurements [15], being -0.65 and -0.67 V for 1,6-DNBaP and 3,6-DNBaP, respectively. Differently, we note that for NBaPs isomers, where mutagenic activity increases in the order 6-NBaP < 1-NBaP ~ 3-NBaP, B3LYP/6-31+G* ΔSCF EA value varies, being 1.34, 1.58, 1.55 eV, respectively [54]. As can be appreciated from Fig. 3, LUMO of 1,6-DNBaP isomer is principally localized on both the nitro substituents exhibiting a remarkable π density along the C–N bonds (note that a similar situation is also found for 3,6-DNBaP isomer). However, while the electronic density over the C–N bond in 1 and 3 positions is presumed to give a bonding contribution, that in position 6 should yield a somewhat destabilizing effect to LUMO. These results are supported by the computed atomic charges (q_{NO_2}) and spin densities ($\delta_s \text{NO}_2$) over the nitro groups of the anionic state, obtained at the UB3LYP/6-31+G* level and reported in Table 5. Indeed, for the nitro group in positions 1 and 3, q_{NO_2} is more negative than the corresponding value in position 6 by 15–30%, depending on the

Table 5

Mulliken, ESP and NBO atomic charge, q (e), and Mulliken spin density, δ_s , over NO_2 groups of dinitrobenzo[*a*]pyrene isomers in their anionic state^a

	1,6-DNBaP		3,6-DNBaP	
	(NO_2) ^b	(NO_2) ^c	(NO_2) ^b	(NO_2) ^c
q (Mulliken)	-0.565	-0.480	-0.550	-0.477
q (ESP)	-0.359	-0.298	-0.371	-0.252
q (NBO)	-0.475	-0.381	-0.466	-0.379
δ_s (Mulliken)	0.218	0.137	0.215	0.138

^a Values are obtained at the UB3LYP/6-31+G* level on the B3LYP/6-31G* geometry of the ground state.

^b Value calculated over O_{22} – N_{21} – O_{23} group. See Fig. 1 for atomic numbering.

^c Value calculated over O_{24} – N_{25} – O_{26} group. See Fig. 1 for atomic numbering.

population scheme employed (Mulliken, ESP, NBO). Additionally, $\delta_s \text{NO}_2$ value in position 6 (0.14) is somewhat lower than that in 1 (0.22) and 3 (0.21) position. As a consequence, for the neutral species, nitro group in position 6 is less susceptible to reduction than that in positions 1 and 3, in agreement with previous experimental results [15]. Introduction of the two nitro groups increases EA value of the BaP moiety by ca. 1.5 eV, implying a better tendency of DNBAps to reduction reactions with respect to BaP and NBaPs, in agreement with experimental findings [18]. The above results on EA values clearly indicate that, the different mutagenic activity of 1,6-DNBaP and 3,6-DNBaP isomers, which is predicted to be up to 1–2 orders of magnitude [15–18], cannot be directly related to the reductive capacity of these isomers.

Physicochemical properties widely used in the literature such as hardness (η) and electrophilicity index (κ), which give a measure of stability and reactivity of molecules, can be estimated from the computed IP and EA values through finite-difference expressions [59]. Not surprisingly, η and κ values (see Table 4) are almost identical for DNBAp isomers, and are consistent with the maximum hardness principle [60], which establishes that isoenergetic isomers should have almost the same η value. It is of interest to note that, the electrophilicity increases in the order BaP < NB < 3,6-DNBaP ~ 1,6-DNBaP, and is mainly determined by the EA value.

3.4. Dipole moments and electronic polarizabilities

Besides the evaluation of IP and EA values which are related to oxidative and reductive processes, respectively, it is of interest to investigate the effects of the position of the nitro substituents on some important chemical–physical properties such as μ and α . Previous studies have demonstrated that permanent and induced electric properties such as dipole moment, quadrupole moment and electronic (hyper) polarizabilities may play an important role in intermolecular interactions, determining binding affinity in enzyme–substrate complexes, through electrostatic, inductive and dispersive contributions [25,43,47,54,61–67]. In particular, electric properties of homologue series of polychlorinated dibenzo-*p*-dioxins and polychlorinated dibenzofurans were previously employed to elucidate the congener specific toxicities, which are supposed to be dependent on ligand–receptor binding capabilities [61–67]. It should be mentioned that enzyme–substrate interac-

tions were hypothesized to be important steps in the proposed mutagenic pathways of NPAHs, preceding nitroreductive reactions [10,11]. Additionally, there are some indications showing that electronic polarizability might be related to hydrophobicity [68], which was found to be crucial for the description of the mutagenic activity of a large series of NPAH compounds [11,51]. Recently, the different mutagenic behaviour of NN and NBaP isomers has been also elucidated on the basis of their permanent and induced electronic charge distributions [43,54].

Table 4 presents μ and static electronic $\langle\alpha\rangle$ values of DNBAp isomers, together with the data of BaP and NB for which some experimental values are available from the literature. Both the B3LYP/6-31+G* and PM6 μ values of NB overestimate the observed gas-phase value of 4.22 D [69] by 18% and 27%, respectively. However, the B3LYP/6-31+G* μ value of 4.96 D is quite close to recent high-level theoretical estimate of 4.78 D [70], obtained at B3LYP/aug-cc-pVTZ level. As can be appreciated from Fig. 1 and data of Table 4, on passing from 1,6-DNBAp to 3,6-DNBAp isomer μ value increases by about 4 times. Analysis of the vectorial contributions from the individual nitro groups is helpful to elucidate the above result. In the case of 1,6-DNBAp isomer, the nitro substituents are essentially in mutual opposition to each other. As a consequence, their dipolar contributions tend to cancel to each other, giving at the B3LYP/6-31+G* level a μ value of 1.91 D. On the contrary, for 3,6-DNBAp the μ value is predicted to be much more greater than that of 1,6-DNBAp isomer, being computed at 8.17 D. This large μ value can be attributed to the additive contribution from both the nitro groups. The above difference in dipole moment between DNBAp isomers might be the origin of their different mutagenic behaviour, supporting enzyme–substrate complex formation as fundamental step in the metabolic activation of these nitroaromatics. It is of interest to note that, owing to the greater distance between positive (hydrocarbon moiety) and negative (nitro group) charges, 3,6-DNBAp isomer is more polar than the typical charge-transfer organic molecule of *p*-nitroaniline, for which an experimental μ value of 6.29 D obtained in benzene solution was previously reported [71].

Table 4 also includes the static electronic $\langle\alpha\rangle$ values of the investigated compounds computed at the PM6 level on the B3LYP/6-31G* geometry. As can be seen from the Table, PM6 computations reproduce satisfactorily well the experimental values of NB obtained in both DMSO and hexane solutions [72] with a difference of 1.2 a.u. (−1.4%) and 4.6 a.u. (−5.1%), respectively. In addition present PM6 $\langle\alpha\rangle$ datum agrees reasonably well with the previous high-level theoretical MP2/[5s3p2d/3s2p] prediction of 88.3 a.u. [73], with a deviation of −2.8%. Note that, introduction of the two nitro groups increases the $\langle\alpha\rangle$ value of BaP by ca. 50 a.u. (ca. 20%). Differently from μ , and in agreement with the computed molar volumes, $\langle\alpha\rangle$ value remains almost unchanged on passing from 1,6-DNBAp to 3,6-DNBAp isomer being computed within 0.3 a.u., suggesting that their dispersive effects are expected to be comparable. Also note that, 1,6-DNBAp and 3,6-DNBAp isomers show an identical hydrophobic character as predicted by the octanol/water partition coefficient values obtained by means of the ALOGPS 2.1 program [74–76], in some consistency with the polarizability values. Finally, one notices that the analogue $\langle\alpha\rangle$ value for the investigated DNBAp isomers is in line with the above energetic and structural properties, in agreement with the minimum polarizability principle [77] and maximum hardness principle [60].

4. Conclusions

The molecular structures, vibrational spectra and electronic properties of 1,6-DNBAp to 3,6-DNBAp isomers have been studied

by using DFT-B3LYP and semiempirical PM6 levels of calculation. Present results suggest that, the different mutagenic activities of the investigated DNBAp isomers might be ascribed to the different binding affinity with enzymes involved in the mutagenic pathways. In particular, electrostatic and inductive interactions, which are dependent on the electronic charge distribution of the interacting units, are expected to give an essential contribution to formation of enzyme-DNBAp complexes, especially in the case of 3,6-DNBAp isomer. On the other hand, our data show that the specific geometrical characteristics of the nitro substituents, the molecular bulk, as well as reductive and oxidative features are somewhat similar between 1,6-DNBAp and 3,6-DNBAp isomers, and consequently are presumed not to contribute to the different mutagenic behaviour of the investigated isomers. Present computational approaches might be useful to elucidate and predict mutagenic potencies of other series of NPAH isomers, for which data are not yet available.

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